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| APPLICATION NO.    | FILING DATE                       | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |
|--------------------|-----------------------------------|----------------------|---------------------|------------------|--|
| 10/725,215         | 12/02/2003                        | Yasunori Yoshimoto   | 65933-058           | 2140             |  |
| McDERMOTT          | 7590 01/19/2007<br>, WILL & EMERY | EXAMINER             |                     |                  |  |
| 600 13th Street    | , N.W.                            | CANTELMO, GREGG      |                     |                  |  |
| Washington, D      | C 20005-3096                      |                      | ART UNIT            | PAPER NUMBER     |  |
|                    |                                   |                      | 1745                |                  |  |
|                    | Г                                 | ***                  | <del></del>         | ···              |  |
| SHORTENED STATUTOR | Y PERIOD OF RESPONSE              | MAIL DATE            | DELIVER             | DELIVERY MODE    |  |
| 3 MO               | NTHS                              | 01/19/2007           | PAPER               |                  |  |

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

|  |   | Application No.   | Applicant(s)     |  |  |  |
|--|---|---|------------------|--|--|--|
| Office Action Summary  |   |   |                  |  |  |  |
|  |   | 10/725,215  | YOSHIMOTO ET AL. |  |  |  |
|  | Office Action Summary   | Examiner  | Art Unit         |  |  |  |
|  | The MAN INC BATT. Athir   | Gregg Cantelmo  | 1745             |  |  |  |
|  | The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply  |   |                  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |   |   |                  |  |  |  |
| Status   | ·   |   |                  |  |  |  |
| 1) 又   | Responsive to communication(s) filed on 30 Oc   | ctober 2006.  |                  |  |  |  |
| •  |   | action is non-final.  |                  |  |  |  |
| 3)   | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is   |   |                  |  |  |  |
|  | closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.   |   |                  |  |  |  |
| Dispositi  | on of Claims  |   |                  |  |  |  |
| 4)⊠ Claim(s) <u>1,2,4,6-10 and 12-30</u> is/are pending in the application.  |   |   |                  |  |  |  |
| 4a) Of the above claim(s) <u>12-29</u> is/are withdrawn from consideration.  |   |   |                  |  |  |  |
|  | Claim(s) is/are allowed.  |   |                  |  |  |  |
| 6)⊠  | Claim(s) <u>1,2,4,6-10 and 30</u> is/are rejected.  | •   |                  |  |  |  |
| 7)   | Claim(s) is/are objected to.  |   |                  |  |  |  |
| 8)[  | Claim(s) are subject to restriction and/or  | election requirement.   |                  |  |  |  |
| Applicati  | on Papers   |   |                  |  |  |  |
|  | The specification is objected to by the Examiner  | •   |                  |  |  |  |
| 10)⊠ The drawing(s) filed on <u>30 October 2006</u> is/are: a)⊠ accepted or b)□ objected to by the Examiner.   |   |   |                  |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  |   |   |                  |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).   |   |   |                  |  |  |  |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.   |   |   |                  |  |  |  |
| Priority u   | inder 35 U.S.C. § 119   |   |                  |  |  |  |
| <ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No.</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>   |   |   |                  |  |  |  |
| 2) Notice 3) Inform  | t(s) e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTO-948) nation Disclosure Statement(s) (PTO/SB/08) r No(s)/Mail Date 11/22/06. | 4)  Interview Summary Paper No(s)/Mail Da 5)  Notice of Informal P 6)  Other: | te               |  |  |  |

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#### **DETAILED ACTION**

#### Response to Amendment

1. In response to the amendment received October 30, 2006:

a. Claims 1, 2, 4, 6-10 and 12-30 are pending with claims 12-29 withdrawn from consideration as to a non-elected invention;

- b. The drawing objection has been overcome in light of the replacement drawing;
- c. The specification objections have been withdrawn in light of the amendment;
- d. The 112 rejections stand;
- e. The prior art rejections of record stand.

#### Election/Restrictions

2. This application contains claims 12-29 drawn to an invention nonelected without traverse. A complete reply to the final rejection must include cancellation of nonelected claims or other appropriate action (37 CFR 1.144) See MPEP § 821.01.

## Information Disclosure Statement

3. The information disclosure statement filed November 22, 2006 has been placed in the application file and the information referred to therein has been considered as to the merits.

# **Drawings**

4. The drawings were received on October 30, 2006. These drawings are approved.

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## Claim Rejections - 35 USC § 112

5. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 3-4 and 9-11 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

- a. Claim 3 recites the limitation "the bottoms" in lines 4-5. There is insufficient antecedent basis for this limitation in the claim;
- b. The structure of claim 4 is not particularly clear, the last 3 line of the claim in particular. The particular communication and non-communication therein is not readily apparent. It is unclear how the discharge manifold is not communicated with the feeding manifold and with the channels. Is the arrangement therein meant to claim that the discharge manifold communicates with any of the apertures other than the aperture in communication with the feeding manifold and plurality of channels of the claim or is it pertaining to some other structure. Applicant is advised to provide a cleared description of the arrangement therein;
- c. Claim 9 recites the limitation "the discharge manifold "in lines 4-5. There is insufficient antecedent basis for this limitation in the claim. Neither claims 1 nor 8 recite a discharge manifold. Thus the term in claim 9 lacks antecedent basis;. This also applies to claim 11;

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d. Claim 10 recites the term "the aperture" however it is unclear which specific aperture this limitation is directed to since there are plural apertures recited in the claim construct (see claim 1). This also applies to claim 11;

e. Claim 11 recites that the connecting channel is inclined downward from the aperture connected to the discharge manifold to the feeding manifold. This arrangement is not made particularly clear by the disclosure of the instant application.

## Response to Arguments

2. Applicant's arguments filed October 30, 2006 have been fully considered but they are not persuasive.

Applicant argues that: "In response the claims have been amended to address each issue raised by the Examiner, thereby overcoming the stated bases for the imposed rejection. Applicants submit that one having ordinary skill in the art would have no difficulty understanding the scope of the claimed invention, particularly when reasonably interpreted in light of and consistent with the written description of the specification, which is the judicial standard. Miles Laboratories, Inc. v. Shandon, Inc., 997 F.2d 870, 27 USPQ2d 1123 (Fed. Cir. 1993). Applicants, therefore, submit that the imposed rejection of claims 3, 4 and 9 through 11 under the second paragraph of 35 U.S.C. § 112 is not viable and, hence, solicit withdrawal thereof.

This argument is not persuasive and fails to provide clear and convincing evidence specific to each of the pending 112 rejections presented in the previous office action and recited once more above. In the absence of such, the rejections stand.

## Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 3. Claims 1, 2, 4,, 8, 9 and 30 are rejected under 35 U.S.C. 102(b) as being anticipated by JP 2001-043868 A (JP '868).

JP '868 discloses a fuel cell separator comprising a plurality of apertures 6/6a 7/7a and 8/8a for feeding reactants and coolant in the direction of stacking of the fuel cells, a feeding manifolds 5/5a and 11/11a communicate with respective apertures 6/6a, 7/7a and 8/8a as shown in Figs. 1 and 4-6. A plurality of channels 4 or 10 communicate with the feeding manifolds 5/5a or 11/1a and extend substantially parallel with respect to adjacent channels (Figs. 1 and 4-6 as applied to claim 1). The depth of the feeding manifolds and plurality of channels are not shown to have a gradient or slope between one another and thus are taught to have the same depth. The electrode adjacent to the open side of the separator can be construed as a cover plate which then covers the upper surface of the feeding manifold. Thus the distance between the bottom of the electrode or covering the open side of the separator and the bottom of the feeding manifold regions is the same as the depth of the channels (Figs. 1-6 as applied to claim 1).

Feeding channels 5/5a and 11/11a are formed over the whole surface of the end of the channels 4 and 10, respectively (Figs. 1 and 4-6 as applied to claim 2).

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The separator is provided in a fuel cell stack which comprises electrodes and an electrolyte sandwiched between the electrodes (as applied to claims 8 and 30).

Each separator plate has apertures connected to respective feeding manifolds and channels for flowing fuel, oxidant and coolant along isolated flow paths. Each plate further comprises discharge manifolds wherein for any given discharge manifold, such as a fuel discharge manifold, the discharge manifold in the plate is not in communication with the feeding manifold and channels for the remaining fluid flows, i.e. oxidant and coolant (see Figs. 1 and 4-6 as applied to claim 4). The feeding and discharge manifolds for different fluids such as fuel and oxidant are on separate sides of the separator and are covered on each side by a fuel electrode on the fuel side and oxidizing electrode on the oxidant side and thus constitute electrodes of different materials for respective electrodes (as applied to claim 4).

The terms vertical, upper and lower as recited in claim 9 are virtual in the absence of a frame of reference which designates these alignments. Structurally the separator of JP '868 has parallel channels which, depending on the orientation of the separator or depending on the plane to which these channels are described in relation to, can be vertical. Furthermore if these channels are vertically disposed relative to say the surface of the earth, then the feeding manifold and discharge manifolds would be provided in the upper/lower arrangements of the separator. Again, the structure of JP '868 as shown in Figs. 1 and 4-6 is identical to that of the separator of the claim.

#### Response to Arguments

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4. Applicant's arguments filed October 30, 2006 have been fully considered but they are not persuasive.

Applicant argues that "adverting to Fig. 1 of JP '868, it should be apparent that the feeding manifold corresponds to the component part indicated by the reference symbol "5". As shown in Fig. 1, there is no cover plate which covers the component part indicated by the reference symbol "5". Therefore, JP '868 neither discloses nor suggests a fuel cell separator with a cover plate as set forth in independent claims 1 and 4.

The Examiner respectfully disagrees.

As set forth in the previous rejection and restated above, the respective adjacent electrodes themselves constitute cover plates. As reactant is flown through the manifold portion 5, this region is in fact covered by the adjacent electrode. While the figures of JP '868 do not show this, one of ordinary skill in the art would have recognized that the internal channels and manifold region 5 would have been expectedly covered by an adjacent electrode (i.e. a covering plate). If the flow field portions 5 are not covered, as alleged by applicant, then the prior art fuel cell would not effectively function since this argued arrangement would result in an exposed portion 5 of the reactant flow which then introduces environmental gases and other system gases to the particular reactant and adversely affects the purity of the particular reactant. The only portion of the plates which would not be covered are the peripheral reactant and coolant ports which distribute reactants and coolant to the entire stack. Thus the remaining channels and manifold portion 5 to said channels would be covered by the adjacent electrode.

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As it stands, the claims fail to provide sufficient structure to differentiate the cover plate of the claims from respective electrodes covering the separator plate of JP '868 and thus the rejection stands.

#### Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.
- 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

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5. Claim 6 is rejected under 35 U.S.C. 103(a) as being unpatentable over JP '868 in view of U.S. Patent No. 6,387,557 (Krasij).

The teachings of claim 1 have been discussed above and are incorporated . herein.

The difference between claim 6 and JP '868 is that JP '868 does not expressly disclose of providing a sealer covering the surface of the separator.

Separators are further provided with seal coating to seal the cooling water manifold, the fuel gas manifold, and the oxidant gas manifold from each other (Krasij claim 32 as applied to claim 6).

The motivation for providing a sealing layer between components of the fuel cell is to prevent reactant leakage and/or electrolyte leakage, depending on the nature of the fuel cell.

Therefore it would have been obvious to one of ordinary skill in the art at the time the claimed invention was made to modify the teachings of JP '868 by providing a sealing layer between components of the fuel cell since it would have prevented reactant leakage and/or electrolyte leakage, depending on the nature of the fuel cell.

6. Claim 7 is rejected under 35 U.S.C. 103(a) as being unpatentable over JP '868 in view of U.S. Patent No. 6,326,095 (Kneidel).

The teachings of claim 1 have been discussed above and are incorporated herein.

The difference between claim 7 and JP '868 is that JP '868 does not expressly disclose of providing a channel-resistance regulating member.

Kneidel discloses providing a channel-resistance regulating member 20 within the feeding manifold of a stack to improve the uniformity of the reactant supply (Fig. 2 and col. 3, II. 55-57).

Therefore it would have been obvious to one of ordinary skill in the art at the time the claimed invention was made to modify the teachings of JP '868 by providing a channel-resistance regulating member in the feeding manifold since it would have improved the uniformity of the reactant supply.

7. Claims 10-11 are rejected under 35 U.S.C. 103(a) as being unpatentable over JP '868 in view of U.S. Patent No. 4,124,478 (Tsien), 4,274,939 (Bjaaeklint) or 6,214,486 (Okamoto).

The teachings of claim 1 have been discussed above and are incorporated herein.

The differences between claims 10-11 and JP '868 are that JP '868 does not expressly disclose of the inclined connecting channel of claim 11 or the declined connecting channel of claim 11.

The basis for providing the sloped channel in the feeding manifold is to prevent water from being introduced into the reactant channels in the fuel cell flow field or separator plate.

It is well known in the art to slope, slant, taper or angle the reactant pathways in a fuel cell system for the same purpose of removing moisture from the reactant streams.

The basis for providing the sloped channel in the discharge manifold is to collect water from the reactant channels and expel the water from the separator.

The concept of slanting or angling surfaces along the reactant flow conduits and manifolds for the purpose of controlling moisture in the flow field and electrodes is known in the art as shown by (Tsien, Fig. 6; Bjaaeklint, Fig. 10A; Okamoto, Fig. 11).

Therefore it would have been obvious to one of ordinary skill in the art at the time the claimed invention was made to modify the teachings of JP '868 by slanting surfaces along the reactant flow conduits and manifolds since it would have controlled moisture in the flow field and electrodes.

# Response to Arguments

8. Applicant's arguments filed October 30, 2006 have been fully considered but they are not persuasive. Applicant makes no further arguments to the obvious rejections above apart from those arguments directed to the anticipatory rejection above. The arguments discussed above and incorporated herein.

#### Conclusion

9. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of

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the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Gregg Cantelmo whose telephone number is 571-272-1283. The examiner can normally be reached on Monday to Thursday, 8:00-5:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Pat Ryan can be reached on 571-272-1292. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Gregg Cantelmo

Primary Examiner
Art Unit 1745

January 17, 2007